



Attorney's Docket No. 42P11429

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)	
)	
Liu et al.)	Examiner: Pritchett, Joshua L.
)	
Application No.: 09/881,218)	Art Unit: 2872
)	
Filed: June 13, 2001)	
)	
For: METHOD AND APPARATUS)	
FOR TUNING A BRAGG GRATING)	
IN A SEMICONDUCTOR)	
SUBSTRATE)	

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on August 19, 2005.
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Yuko Tanaka
(Typed or printed name of person mailing correspondence)

Y. Tanaka
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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

_____ 37 C.F.R. §1.97(b).

 X 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

_____ A statement pursuant to 37 C.F.R. §1.97(e) or

 X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).


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- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Aug. 19, 2005 
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Substitute for Form 1449/PTO.

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/881,218
Filing Date	June 13, 2001
First Named Inventor:	Liu et al.
Art Unit	2872
Examiner Name	Pritchett, Joshua L.
Attorney Docket Number	42P11429

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
	1	US-	4,725,110	02-16-1988	Glenn et al.	
	2	US-	4,815,084	03-21-1989	Scifres et al.	
	3	US-	5,159,601	10-27-1992	Huber	
	4	US-	5,212,745	05-18-1993	Miller	
	5	US-	5,237,576	08-17-1993	DiGiovanni et al.	
	6	US-	5,493,113	02-20-1996	Dunphy et al.	
	7	US-	5,511,083	04-23-1996	D'Amato et al.	
	8	US-	5,511,142	04-23-1996	Horie et al.	
	9	US-	5,627,927	05-06-1997	Udd	
	10	US-	5,636,309	06-03-1997	Henry et al.	
	11	US-	5,689,358	11-18-1997	Nakao et al.	
	12	US-	5,781,268	07-14-1998	Liu et al.	
	13	US-	5,915,051	06-22-1999	Damask et al.	
	14	US-	6,141,469	10-31-2000	Kashyap	
	15	US-	6,259,529 B1	07-10-2001	Sorin et al.	
	16	US-	6,266,464 B1	07-24-2001	Day et al.	
	17	US-	6,327,036 B1	12-04-2001	Bao et al.	
	18	US-	6,337,737 B1	01-08-2002	Chang et al.	
	19	US-	2002/0025097 A1	02-28-2002	Cooper et al.	
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	23	US-	2002/0085810 A1	07-04-2002	Lee et al.	
	24	US-	2003/0020865 A1	01-30-2003	Hoke	
	25	US-	6,529,649 B1	03-04-2003	Soole	
	26	US-	6,538,783 B1	03-25-2003	Stephens	
	27	US-	6,546,160 B1	04-08-2003	Schunk	
	28	US-	2003/0086155 A1	05-08-2003	Ovadia et al.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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Sheet 2

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of

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Application Number	09/881,218
Filing Date	June 13, 2001
First Named Inventor:	Liu et al.
Art Unit	2872
Examiner Name	Pritchett, Joshua L.
Attorney Docket Number	42P11429

[illegible]

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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Substitute for Form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	09/881,218
				Filing Date	June 13, 2001
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				Examiner Name	Pritchett, Joshua L.
				Attorney Docket Number	42P11429
Sheet	3	of	3		
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
	34	BILODEAU, F. et al., "High-Return-Loss Narrowband All-Fiber Bandpass Bragg Transmission Filter", IEEE Photonic Technology Letters, Vol. 6, No. 1, January 1994, pp. 80-82.			

Examiner Signature		Date Considered	
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